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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/647,503	08/26/2003	Hiroki Kobayashi	R2184.0255/P255	2701
24998	7590	03/08/2006	EXAMINER	
DICKSTEIN SHAPIRO MORIN & OSHINSKY LLP			RADTKE, MARK A	
2101 L Street, NW			ART UNIT	
Washington, DC 20037			PAPER NUMBER	
			2165	

DATE MAILED: 03/08/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

<b>Office Action Summary</b>	<b>Application No.</b>	<b>Applicant(s)</b>	
	10/647,503	KOBAYASHI, HIROKI	
	<b>Examiner</b>	<b>Art Unit</b>	
	Mark A. Radtke	2165	

**-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --**

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 17 December 2003.
- 2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-26 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-26 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 26 August 2003 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All    b) ☐ Some \* c) ☐ None of:
1. ☒ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)                        | 4) <input type="checkbox"/> Interview Summary (PTO-413)                     |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)               | Paper No(s)/Mail Date. _____  |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| Paper No(s)/Mail Date <u>12/17/2003</u> .  | 6) <input type="checkbox"/> Other: _____                                    |

## **DETAILED ACTION**

### ***Priority***

1. Receipt is acknowledged of papers submitted under 35 U.S.C. 119(a)-(d), which papers have been placed of record in the file.

### ***Claim Objections – Double Patenting***

2. Claim 6 is objected to under 37 CFR 1.75 as being a substantial duplicate of claim 4. When two claims in an application are duplicates or else are so close in content that they both cover the same thing, despite a slight difference in wording, it is proper after allowing one claim to object to the other as being a substantial duplicate of the allowed claim. See MPEP § 706.03(k).
3. Claim 25 is objected to under 37 CFR 1.75 as being a substantial duplicate of claim 21. When two claims in an application are duplicates or else are so close in content that they both cover the same thing, despite a slight difference in wording, it is proper after allowing one claim to object to the other as being a substantial duplicate of the allowed claim. See MPEP § 706.03(k).

4. Claim 23 is objected to under 37 CFR 1.75 as being a substantial duplicate of claim 1. When two claims in an application are duplicates or else are so close in content that they both cover the same thing, despite a slight difference in wording, it is proper after allowing one claim to object to the other as being a substantial duplicate of the allowed claim. See MPEP § 706.03(k).

***Claim Rejections - 35 USC § 102***

5. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

6. Claims 1-6, 9-10 and 14-26 are rejected under 35 U.S.C. 102(e) as being anticipated by Austin et al. (U.S. Patent 6,549,906).

As to claims 1 and 23, Austin et al. teaches an image processing apparatus (See Abstract. Any kind of electronic data can be retrieved and processed by this system, including images.), comprising:

a reception control part receiving a request for a Web page (see column 5, line 41, where "Web page" is read on "HTML") from a terminal connected to the image

processing apparatus via a network (see figure 1 and see column 12, lines 36-41 and see column 10, lines 53-56);

first storage means for storing a plurality of compressed document form information files (see figure 2, Input DB 202 and see column 7, lines 29-31 and see column 7, lines 39-42, where “compressed document form information files” is read on “customer data sets”);

a decompression part decompressing at least one of the plurality of compressed document form information files in the first storage means into at least one document form data item (see column 8, lines 18-23 where “decompressed” is read on “expanded” and “document form data item” is read on “usable expanded vendor-formatted data sets”);

second storage means for storing the at least one document form data item (see column 19, lines 1-13);

a Web page creation part using a document form data item in the second storage means to create the Web page (see column 9 lines 42-45 and column 5, line 41); and

a transmission control part sending the created Web page to the terminal (see figure 1 and see column 10, lines 53-56).

As to claims 2, 22, 24 and 26, Austin et al. teaches wherein the plurality of document form information files are XSL files (see column 9, lines 42-43).

As to claims 3 and 15, Austin et al. teaches wherein the decompression part decompresses at least one of the plurality of compressed document form information files after the image processing apparatus is actuated and before the reception control part receives a first request for the Web page from the terminal (See column 12, lines 36-42, where “before the reception control part receives a first request” is read on “at specific times.” Immediately after retrieval of customer data sets, the decompression begins in the expansion module. See also column 8, lines 23-25, where “before the reception control part receives a first request” is read on “simultaneously”).

As to claims 4, 6, 16 and 18, Austin et al. teaches wherein the decompression part decompresses at least one of the plurality of compressed document form information files after the image processing apparatus is actuated and when the reception control part receives a first request for the Web page from the terminal (see column 12, lines 36-42 where “when the reception control part receives a first request” is read on “upon request”).

As to claims 5 and 17, Austin et al. teaches wherein the decompression part decompresses all of the plurality of compressed document form information files in the first storage means (See column 8, lines 23-25. It is implicit that if the operations are done simultaneously then all of the documents would be decompressed).

As to claims 9 and 19, Austin et al. teaches wherein the Web page creation part deletes the document form data item from the second storage means after creation of the Web page (see column 12, line 65 – column 13, line 2).

As to claims 10 and 20, Austin et al. teaches wherein the Web page creation part comprises a decompression determination part determining whether or not the document form data item is stored in the second storage means, and the Web page creation part uses the document form data item to create the Web page based on determination of the decompression determination part (see column 8, lines 56-62).

As to claim 14, Austin et al. teaches a method of creating a Web page for an image processing apparatus that receives a request for the Web page from a terminal connected to the image processing apparatus via a network and sends the created Web page to the terminal (see Examiner's comments regarding claim 1), the method comprising:

For the remaining steps of this claim applicant(s) is/are directed to the remarks and discussions made in claim 1 above.

As to claims 21 and 25, Austin et al. teaches an image processing system (see Examiner's comments regarding claim 1), comprising:

For the remaining steps of this claim applicant(s) is/are directed to the remarks and discussions made in claim 1 above.

***Claim Rejections - 35 USC § 103***

7. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

8. Claims 7-8 are rejected under 35 U.S.C. 103(a) as being unpatentable over Austin et al. in view of Arcuri et al. (U.S. Patent 6,915,299).

As to claim 7, Austin et al. teaches wherein the decompression part, after the image processing apparatus is actuated and before or when the reception control part receives a first request for the Web page from the terminal, decompresses (see Examiner's response to claim 3).

Austin et al. does not teach wherein the decompression part decompresses a predetermined number of the plurality of compressed document form information files in most recently accessed order.

Arcuri et al. teaches wherein the part carries out an action on a predetermined number of the plurality of files (see column 9, lines 29-37, where "predetermined



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number of the plurality of files” is read on “two or more different document libraries”) in most recently accessed order (see column 9, lines 48-51).

Therefore, it would have been obvious to one of ordinary skill in the relevant art at the time the invention was made to have combined the decompression part of Austin et al. with the most recently accessed method of Arcuri et al. because it is a well-known algorithm for accessing data from a cache.

As to claim 8, Austin et al. teaches wherein the decompression part, after the image processing apparatus is actuated and before or when the communication control part receives a first request for the Web page from the terminal, decompresses

Austin et al. does not teach wherein the decompression part decompresses a predetermined number of the plurality of document form information files in most frequently accessed order.

Arcuri et al. teaches wherein the decompression part decompresses a predetermined number of the plurality of document form information files (see column 9, lines 29-37, where “predetermined number of the plurality of files” is read on “two or more different document libraries”) in most frequently accessed order (see column 9, lines 48-51).

Therefore, it would have been obvious to one of ordinary skill in the relevant art at the time the invention was made to have combined the decompression part of Austin et al. with the most frequently accessed method of Arcuri et al. because it is a well-known algorithm for accessing data from a cache.

9. Claim 11 is rejected under 35 U.S.C. 103(a) as being unpatentable over Austin et al. in view of Chung (U.S. Patent Application Publication 2003/0084152).

As to claim 11, Austin et al. teaches wherein the Web page creation part (see Examiner's comments regarding claim 1).

Austin et al. does not teach wherein, when the number of the document form data items exceeds a predetermined value, deletes one of the at least one document form data item in the second storage means from said second storage means.

Chung teaches wherein, when the number of the document form data items exceeds a predetermined value, deletes one of the at least one document form data item in the second storage means from said second storage means (see figure 4 and page 6, paragraph [0065]).

Therefore, it would have been obvious to one of ordinary skill in the relevant art at the time the invention was made to have combined the decompression part of Austin

et al. with the caching algorithm of Chung because it could speed up database applications with “records that are relatively static but may require periodic updates” (see Chung, page 6, paragraph [0064]).

10. Claim 12-13 are rejected under 35 U.S.C. 103(a) as being unpatentable over Austin et al. in view of Chung, as modified, further in view of Porter et al. (U.S. Patent Application Publication 2004/0030682).

As to claim 12, Austin et al., as modified, teaches the Web page creation part (see Examiner's comments regarding claim 1).

Austin et al., as modified, still does not teach wherein the Web page creation part deletes the least recently used document form data item in the second storage means from said second storage means.

Porter et al. teaches wherein deletes the least recently used document form data item in the second storage means from said second storage means (see page 4, paragraph [0029]).

Therefore, it would have been obvious to one of ordinary skill in the relevant art at the time the invention was made to have modified the web page creator of Austin et al., as modified, with the LRU algorithm of Porter et al. because “the amount of memory

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(size) contained in the query cache 18 remains approximately constant” (see Porter et al., page 4, paragraph [0029]).

As to claim 13, Austin et al., as modified, teaches the Web page creation part (see Examiner's comments regarding claim 1).

Austin et al., as modified, still does not teach wherein the Web page creation part deletes the earliest stored document form data item in the second storage means from said second storage means.

Porter et al. teaches wherein deletes the earliest stored document form data item in the second storage means from said second storage means (see page 4, paragraph [0029], where “earliest stored” is read on “oldest”).

Therefore, it would have been obvious to one of ordinary skill in the relevant art at the time the invention was made to have modified the web page creator of Austin et al., as modified, with the LRU algorithm of Porter et al. because “the amount of memory (size) contained in the query cache 18 remains approximately constant” (see Porter et al., page 4, paragraph [0029]).

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**Conclusion**

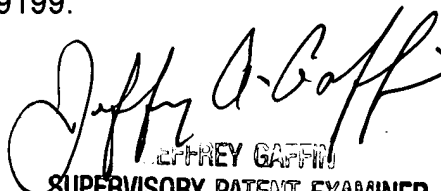
11. Any inquiry concerning this communication or earlier communications should be directed to the examiner, Mark A. Radtke. The examiner's telephone number is (571) 272-7163, and the examiner can normally be reached between 9 AM and 5 PM, Monday through Friday.

If attempts to contact the examiner are unsuccessful, the examiner's supervisor, Jeffrey Gaffin, can be reached at (571) 272-4146.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to Customer Service at (800) 786-9199.

maxr  
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3 March 2006

  
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